



12-05-03

Express Mail No. ER074143430US  
Attorney Docket No.: AM-3708.C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Stephen Moffatt

SERIAL NO.: 10/705,182

FILED: November 10, 2003

FOR: SUBSTRATE HOLDER FOR RETAINING A  
SUBSTRATE WITHIN A PROCESSING CHAMBER

§ GROUP ART UNIT: 2881

§ (Parent Application)

§

§ EXAMINER: J. L. Smith

§ (Parent Application)

§

§ Attorney Docket No.:

§ AM-3708.C1

Date: December 3, 2003

INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicant is submitting the subject Information Disclosure Statement under 37 CFR § 1.97(b)(1). This Information Disclosure Statement is being submitted within three (3) months of the filing date of the subject application.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

I hereby certify that this paper is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as U.S. EXPRESS MAIL NO. ER074143430US in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: December 3, 2003

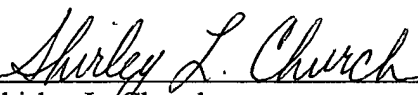
*Shirley L. Church*

Shirley L. Church, Reg. No. 31,858

Applicant does not believe that any fee is due in connection with the filing of this Information Disclosure Statement under 37 CFR § 1.97(b)(1). However, in the event that any additional fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 50-1512 of Shirley L. Church, Sunnyvale, California, in the amount of such fee.

This transmittal letter is submitted in duplicate for accounting purposes.

Respectfully submitted,

  
\_\_\_\_\_  
Shirley L. Church  
Registration No. 31,858  
Attorney for Applicant

Correspondence Address:  
Patent Counsel  
Applied Materials, Inc.  
P.O. Box 450-A  
Santa Clara, California 95052



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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§ EXAMINER: J. L. Smith  
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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR § 1.97(b)(1)**

**Hon. Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**

Sir:

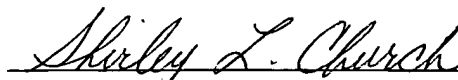
In accordance with 37 CFR § 1.97(b)(1), applicant hereby requests consideration of this Information Disclosure Statement. This Information Disclosure Statement is being submitted within three (3) months of the filing date of the subject application or before an Office Action on the merits. Applicants are providing herewith a copy of each document cited on the attached Form PTO-1449.

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**CERTIFICATE OF MAILING UNDER 37 CFR § 1.10**

I hereby certify that this paper is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as U.S. EXPRESS MAIL NO. ER074143430US in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: December 3, 2003

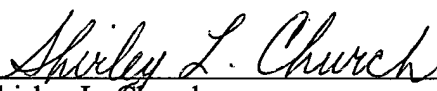
  
Shirley L. Church, Reg. No. 31,858

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The submission of this Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior art" with respect to the present invention, nor is it a representation that no better art exists. Applicant hereby reserves the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

If the Examiner has any questions, he is respectfully requested to contact the undersigned attorney at the telephone number set forth below.

Respectfully submitted,

  
\_\_\_\_\_  
Shirley L. Church  
Registration No. 31,858  
Attorney for Applicant  
(650) 473-9700

Correspondence Address:  
Patent Counsel  
Applied Materials, Inc.  
P.O. Box 450-A  
Santa Clara, California 95052

FORM PTO-1449  
(Equivalent)

U.S. Department of Commerce  
Patent and Trademark Office

U.S. Application Serial No.  
10/705,182

Atty. Docket No.  
AM-3708.C1

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Stephen Moffatt  
Applicants

November 10, 2003  
Filing Date

2881  
Group

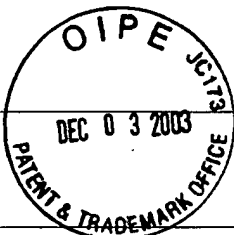
U. S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Filing Date If Appropriate</u>
_____	4,480,284	10/30/84	Tojo et al. *. **	361	234	
_____	4,665,463	05/12/87	Ward et al. *. **	361	234	
_____	4,920,505	04/24/90	Suzuki **	364	525	
_____	5,310,453	05/10/94	Fukasawa et al. *. **	156	643	
_____	5,452,177	09/19/95	Frutiger *. **	361	234	
_____	5,581,324	12/03/96	Miyai et al. **	355	53	
_____	5,729,423	03/17/98	Donde et al. *. **	361	234	
_____	5,883,778	03/16/99	Sherstinsky et al. *. **	361	234	

Examiner \_\_\_\_\_ Date Considered \_\_\_\_\_

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

- \* Cited in a Search Report in corresponding PCT Application No. PCT/US00/21377, filed August 4, 2000.  
\*\* Cited by the Examiner during prosecution of parent application U.S. Serial No. 10/049,755.



FOREIGN PATENT DOCUMENTS

Examiner <u>Initial</u>	Document <u>Number</u>	Publication <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	Filing Date <u>If Appropriate</u>
_____	EP 0692814	01/17/96	Shamouilian et al. *	H01L	21/00	
_____	EP 0856862	08/05/98	Kumar et al. *	H01L	21/68	

Examiner	Date Considered
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- \* Cited in a Search Report in corresponding PCT Application No. PCT/US00/21377, filed August 4, 2000.  
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